

**ON THE DIMENSION OF THE GROUP OF PROJECTIVE  
TRANSFORMATIONS OF CLOSED RANDERS AND  
RIEMANNIAN MANIFOLDS.**

VLADIMIR S. MATVEEV

ABSTRACT. We construct a counterexample to [6, Theorem 2].

1. INTRODUCTION

A Randers metric is a Finsler metric (on a manifold  $M$ ) of the form

$$(1) \quad F(x, \xi) = \sqrt{g(\xi, \xi)} + \omega(\xi),$$

where  $g = g_{ij}$  is a Riemannian metric and  $\omega = \omega_i$  is an 1-form. The assumption that  $F$  given by (1) is indeed a Finsler metric is equivalent to the condition that the  $g$ -norm of  $\omega$  is less than one. Within the whole paper we assume that all objects we consider are at least  $C^2$ -smooth.

Two Finsler metrics  $F$  and  $\bar{F}$  are *projectively equivalent*, if every forward-geodesic of  $F$  is a forward-geodesic of  $\bar{F}$ . Within our paper we will always assume that the dimension of  $M$  is at least two, since in dimension one all metrics are projectively equivalent. By a *projective transformation* of  $(M, F)$  we understand a diffeomorphism  $a : M \rightarrow M$  such that pullback of  $F$  is projectively equivalent to  $\bar{F}$ . The group of projective transformations will be denoted by  $Proj(M, F)$ ; it is a Lie group of finite dimension.

In [6, Theorem 2] it was claimed that

*for closed connected ( $n \geq 3$ )-dimensional Randers manifold  $(M, F)$  of constant flag curvature  $\dim(Proj(M, F)) = n(n+2)$  or  $\dim(Proj(M, F)) \leq \frac{n(n+1)}{2}$ .*

The goal of the present note is to construct a counterexample to this statement: we construct a Riemannian manifold of constant sectional curvature such that its group of projective transformations is  $(n-1)^2 + 1 = n^2 - 2n + 2$  dimensional. Clearly, for  $n > 4$  we have

$$\frac{n(n+1)}{2} < n^2 - 2n + 2 = (n-1)^2 + 1 < (n+1)^2 - 1 = n(n+2).$$

Of course, Riemannian manifolds of constant sectional curvature are Randers manifolds of constant flag curvature.

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Institute of Mathematics, Friedrich-Schiller-Universität Jena, 07737 Jena Germany  
vladimir.matveev@uni-jena.de.



the induced metric which we denote by  $g$ . Let us now show that the group of the projective transformations of  $(M^n, g)$  has dimension  $n^2 - 2n + 2$ .

We first construct a  $(n^2 - 2n + 2)$ -dimensional subgroup of  $Proj(M, g)$ . For every  $A \in SL_{n+1}$  such the matrix of  $A$  is blockdiagonal such that the first  $2 \times 2$ -block has the form  $\begin{pmatrix} \alpha & \beta \\ -\beta & \alpha \end{pmatrix}$ , where  $\alpha, \beta \in \mathbb{R}$  with  $\alpha^2 + \beta^2 > 0$ , and the second  $(n - 1) \times (n - 1)$ -dimensional block is an arbitrary matrix  $\tilde{A}$  with determinant  $\frac{1}{\alpha^2 + \beta^2}$ ,

$$(4) \quad A = \begin{pmatrix} \alpha & \beta & 0 & \cdots & 0 \\ -\beta & \alpha & 0 & \cdots & 0 \\ 0 & 0 & & & \\ \vdots & \vdots & & \tilde{A} & \\ 0 & 0 & & & \end{pmatrix}.$$

For every such a matrix  $A$ , let us consider the projective transformations  $a$  of  $S^n$  given by (2). Since the matrices  $A$  and  $B$  commute, the projective transformation  $a$  commutes with the isometry  $b$  and induces a projective transformation of  $M^n$ . Clearly, two matrices  $A$  and  $A'$  of the form (4) generate the same projective transformation of  $M$  if and only if  $A' \in \{A, -A, BA, -BA\}$ . We denote the group of such projective transformations by  $Proj'$ ; we evidently have

$$\dim(Proj') = \dim(GL_{n-1}) - 1 + 2 = (n - 1)^2 - 1 + 2 = n^2 - 2n + 2.$$

Let us show that the group  $Proj'$  contains (and therefore, since it is connected, coincides with)  $Proj_0(M, g)$ , where  $Proj_0(M, g)$  denotes the connected component of the group of projective transformations of  $(M, g)$  containing the neutral element.

Indeed, every element  $Proj_0(M, g)$  from a small neighborhood of the neutral element lies in a certain one-parametric subgroup of  $Proj_0(M, g)$ . Now, one-parametric subgroups of  $Proj_0(M, g)$  are in one-to-one correspondence with projective vector fields. Since the property of a vector field to be projective is a local property, the lift of every projective vector field to  $S^n$  is projective w.r.t. the standard metric on  $S^n$ , so it generates a 1-parameter group of projective transformations on  $S^n$  which we denote by  $a_\tau$ . As we recalled above, for every projective transformation of  $S^n$  there exists a matrix  $A$  such that  $a(x) = \frac{Ax}{\|Ax\|}$ . We denote by  $\overset{\tau}{A} \in SL_{n+1}$  the matrix such that  $a_\tau(x) = \frac{\overset{\tau}{A}x}{\|\overset{\tau}{A}x\|}$ .

Since the flow of such projective vector field on  $S^n$  evidently commutes with the action of  $\mathbb{Z}_2$  generated by  $b$ , for any  $\tau$  the transformation  $a_\tau$  commutes with  $b$ . Then, the matrices  $\overset{\tau}{A}$  and  $B$  also commute:

$$(5) \quad B \overset{\tau}{A} - \overset{\tau}{A} B = 0.$$

It is an easy exercise in a linear algebra to show that if a matrix  $A \in SL_{n+1}$  commutes with  $B$ , then it has the form (4). Thus, any projective transformation of  $(M, g)$  from a small neighborhood of the neutral element of  $Proj_0(M, g)$  lies in  $Proj'$ . Then,  $Proj_0(M, g) \subseteq Proj'$ . Since  $Proj'$  is evidently connected,  $Proj_0(M, g) = Proj'$  as we claimed.

### 3. THE GROUP OF PROJECTIVE TRANSFORMATIONS OF A CLOSED RANDERS MANIFOLD

Above we constructed an example of a closed manifold  $(M, g)$  whose dimension of the group of projective transformations contradicts the statement of [6, Theorem 2]. The universal cover of this manifold is the standard sphere with the standard metric, and has the dimension of the group of projective transformations equal to  $n(n+2)$ , so the nature of our counterexample is in a certain sense topological. Of course, one can construct many other counterexamples of the same nature (i.e., such that the universal cover is the standard sphere but the group of the projective transformations has the dimension less than the dimension of the group of the projective transformations of the standard sphere but is still sufficiently big).

The following (recently proved) statement shows that such examples are essentially all possible counterexamples to [6, Theorem 2]:

**Fact (Corollary 3 of [5]).** *Let  $(M, F)$  be a closed connected Randers manifold with  $F$  given by (1). Then, at least one of the following possibilities holds:*

- (1) *There exists a closed form  $\hat{\lambda}$  such that  $Proj_0(M, F)$  consists of isometries of the the Finsler metric  $F(x, \xi) = \sqrt{g(\xi, \xi)} + \omega(\xi) - \hat{\lambda}(\xi)$ , or*
- (2) *the form  $\omega$  is closed and  $g$  has constant positive sectional curvature.*

Note that, different from [6], in the Fact above we do not require that the metric has constant flag curvature — this is an additional condition on  $g$  and  $\omega$ . In the case (1) of Fact above, i.e., when there exists a closed form  $\hat{\lambda}$  such that  $Proj_0(M, F)$  consists of isometries of the the Finsler metric  $F(x, \xi) = \sqrt{g(\xi, \xi)} + \omega(\xi) - \hat{\lambda}(\xi)$ , the group  $Proj(M, F)$  has dimension at most  $\frac{n(n+1)}{2}$ , see [4, Proposition 6.4 and Theorem 7.1] (for  $n \neq 2, 4$  this fact also follows from [7]). In the case (2) of Fact above, the group of projective transformations of  $F$  coincides with the group of the projective transformations of  $g$ . Now, by [2, 3], the connected component  $Proj_0(M, g)$  of this group contains not only isometries, only if  $g$  has constant positive sectional curvature. Thus, if the dimension of the group of the projective transformations of a closed  $(n \geq 2)$ -dimensional Randers manifold  $(M, F)$  (with  $F$  given by (1)) is greater than  $\frac{n(n+1)}{2}$ , then  $\omega$  is a closed form and  $g$  has constant positive sectional curvature. Then, the lift of  $F$  to the universal cover  $\tilde{M} \cong S^n$  of  $M$  has the  $n(n+2)$ -dimensional group of the projective transformations.

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